Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/AU05/000225

International filing date: 21 February 2005 (21.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US

Number: 60/546,551

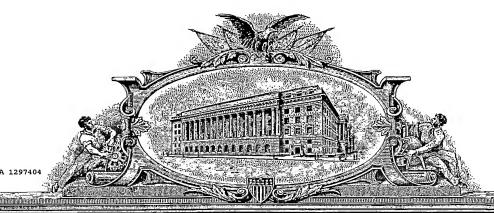
Filing date: 20 February 2004 (20.02.2004)

Date of receipt at the International Bureau: 06 June 2005 (06.06.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





ANION ON THE CONTRACTOR OF THE CONTRACT OF THE

TO ALL TO WHOM THESE: PRESENTS SHALL COME: UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

March 25, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 60/546,551

FILING DATE: February 20, 2004

PCT/AU05/00225

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

M. K. HAWKINS Certifying Officer

PTO/SB/16 (02-01)

Approved for use through10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No.

Given Name (first and middle (if any)) (a) Anthony (b) Greg Brewer Additional inventors are being named on the 0_separately numbered sheets attached hereto TITLE OF THE INVENTION (280 characters max)
(a) Anthony (b) Greg Brewer Additional inventors are being named on the 0 separately numbered sheets attached hereto TITLE OF THE INVENTION (280 characters max)
(b) Greg Brewer NSW, Australia Additional inventors are being named on the O separately numbered sheets attached hereto TITLE OF THE INVENTION (280 characters max)
Additional inventors are being named on the 0 separately numbered sheets attached hereto TITLE OF THE INVENTION (280 characters max)
TITLE OF THE INVENTION (280 characters max)
MITHORANDA
METHOD AND APPARATUS FOR DETECTION OF RESPIRATORY DISORDER BY IMPLANTABLE DEVICE
Direct all correspondence to: CORRESPONDENCE ADDRESS
Customer Number Place Customer Number
OR Type Customer Number here
Firm or Individual Name Gottlieb, Rackman & Reisman, P.C.
Address 270 Madison Avenue
Address
City New York State NY ZIP 10016-0601
Country USA Telephone (212)684-3900 Fax (212) 684-3999
ENCLOSED APPLICATION PARTS (check all that apply)
Specification Number of Pages 3 CD(s), Number
Drawing(s) Number of Sheets 1
Application Data Sheet. See 37 CFR 1.76 Other (specify) return itemized postcard
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT
Applicant claims small entity status. See 37 CFR 1.27. FILING FEE
A check or money order is enclosed to cover the filing fees The Commissioner is hereby authorized to charge filing
fees or credit any overpayment to Deposit Account Number: 07-1730 \$160.00
Payment by credit card. Form PTO-2038 is attached.
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.
□ No.
Yes, the name of the U.S. Government agency and the Government contract number are:
Respectfully submitted, Date 2/20/2004
YPED or PRINTED NAME Raymond B. Churchill, Jr. (if appropriate) 44,617
ELEPHONE (212)684-3900 (appropriate) Docket Number: 3869/049

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant

METHOD AND APPARATUS FOR DETECTION AND TREATMENT OF RESPIRATORY DISORDER BY IMPLANTABLE DEVICE

This method detects a respiratory disorder by measuring transthoracic impedance changes via implanted electrodes. This uses relatively high frequency (eg 20Hz) electrical pulses (compared with respiration or heart rate) that have amplitude below the level needed to stimulate excitable tissue. The impedance is calculated by measuring current & voltage and calculating impedance via Ohm's Law. Impedance changes are correlated with thorax movements. Patterns of movement are detected and used to indicate a variety of respiratory disorders such as Obstructive Apnea, central apnea, cheyne-Stokes respiration.

Identification of a respiratory disorder in such a manner can be used to control or initiate treatment of the disorder by electrical stimulation or by adjustment of treatment pressure. Stimulation can be of respiratory efferent nerves such as the phrenic nerve to stimulate breathing, with or without upper airway stimulation. A second method is to stimulate afferent nerves, which serve as an input to central nervous system respiratory control in order to modulate the respiration. For example, it is known that CSR (Cheyne-Stokes respiration) is as a result of an unstable respiratory controller in the CNS (central nervous system). Electrical Stimulation of afferent nerves serves to stabilise the respiratory controller by stimulating respiration when it is suppressed and not stimulating during periods of sufficient respiration, or during periods of over breathing stimulate the afferent system in order to suppress respiration. For example, afferent nerves stimulated by cardiac pacing, or by stimulation of the upper airway, can serve to modulate the respiratory controller.

An example of a methodology as described is illustrated in Figure 1.

DETECTION OF RESPIRATORY PARAMETER AS INDICATOR OF HEART FAILURE SEVERITY

It is known that the duration of respiration and apnea periods during CSR is related to the severity of CHF as disclosed in pending United States Provisional Patent application 60/512,553 filed 17th October 2003, the disclosure of which is incorporated by cross reference. For example, a longer respiration plus apnea period is indicative of more severe CHF. In addition the relationship of these periods is indicative of CHF condition. Analysis of the respiration patterns derived from a measurement of transthoracic impedance, either using electrodes placed externally or internally on the

thorax, can be used for example, to detect such periods and also serve as an indicator of CHF condition.

The analysis of the respiration and reporting of cardiac dysfunction can be implemented either in an implantable device (e.g., a pacemaker) or an external monitor (e.g. holter monitor). Such devices may optionally be configured to be in communication with a flow generator type SDB treatment device or flow monitoring device known in the art.

A METHOD TO TREAT SDB USING RESPIRATION DETERMINED CARDIAC OVERDRIVE PACING.

It is known that pacing the heart at 15 beats per minute above the nominal rate can resolve OSA (ref Garrigue, 2002). However it is not desirable to permanently pace the heart at rates higher than necessary to maintain cardiac function.

Respiratory abnormalities are detected by analysis of respiratory patterns, measurement of transthoracic impedance, for example, as described previously. When apneas are detected either by detecting flow conditions in an automated SDB device and/or by transthoric impedance monitoring with an automated impedance detector and controller, etc,., the pacing rate is increased. If no further apneas are detected for a period (e.g. 20 minutes) the pacing rate is gradually reduced to the nominal value. If further apneas are detected, the pacing rate is increased.

METHOD FOR DETECTION AND DISCRIMINATION OF CENTRAL AND OBSTRUCTIVE APNEA IN IMPLANTABLE DEVICE

It is known that central apnea and obstructive apnea can be discriminated by measuring and comparing both respiratory airflow and thoracic movement.

A method for measuring air flow in an implantable device is by use of an acoustic transducer inside the device, such as a microphone, or from a transmitted signal from an external device in communication with the implantable device. Analysis of the frequency and amplitude of the sound can be used to deduce relative airflow. In addition, snoring, which is indicative of a partial obstruction of the upper airway can be detected. It is known that snoring is frequently a precursor of obstructive apnea.

A method for indicating thoracic movement is by measuring the electrical impedance between two or more implanted electrodes.

By a combination of methods for deducing airflow and thoracic movement, it is possible to discriminate between central and obstructive apnea in an implantable device. For example, if thoracic movements are detected without corresponding airflow, it is possible to deduce that there is obstructive apnea occurring. If there is no airflow and no thoracic movements for a specified period, it is possible to deduce that there is central apnea.

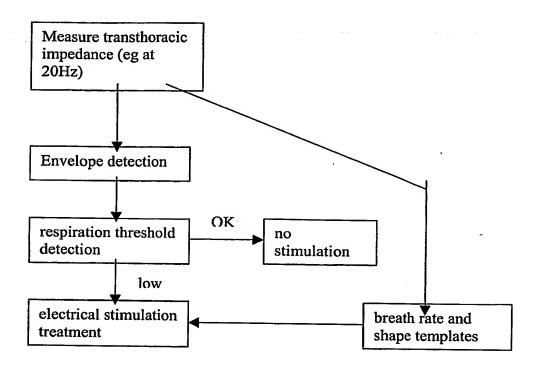


FIGURE 1